

## **A Comprehensive Model for Performance Prediction of Electro-Optical Systems**

Dr. Joseph J. Shirron

Metron, Inc. | 1818 Library St., Suite 600 | Reston, VA 20190  
phone: (703) 326-2829 fax: (703) 787-3518 email: [shirron@metsci.com](mailto:shirron@metsci.com)

Dr. Thomas E. Giddings

Metron, Inc. | 1818 Library St., Suite 600 | Reston, VA 20190  
phone: (703) 326-2828 fax: (703) 787-3518 email: [giddings@metsci.com](mailto:giddings@metsci.com)

Award Number: N00014-06-C-0070

<http://www.metsci.com>

### **LONG-TERM GOALS**

The EODES suite of electro-optical image simulation and performance prediction models are designed to provide tools for system design and analysis, tactical performance prediction for mine countermeasures (MCM) mission planning, and the generation of synthetic imagery for operator training and the development of automated target recognition systems. Since this three-year project only extended a couple months into FY09, we provide here a brief summary of the entire effort.

### **OBJECTIVES**

EODES electro-optical sensor models are based on high-fidelity physical models of radiative transfer in turbid media under the assumption of small-angle scattering [1] and Fourier optics models for various scanning systems [2,3,4]. A key objective of this program was to develop flexible and efficient numerical solution techniques for these physical models to provide near real-time simulation and analysis, which is an important requirement for tactical performance prediction. Another objective was to demonstrate the performance prediction capabilities, and their potential impact on MCM mission planning, at various naval exercises, including RIMPAC-06, RIMPAC-08, and several MIREM and VULCANEX exercise. Finally, the EODES performance prediction software is currently being certified as a Navy standard model for inclusion in the Oceanographic and Atmospheric Master Library (OAML).

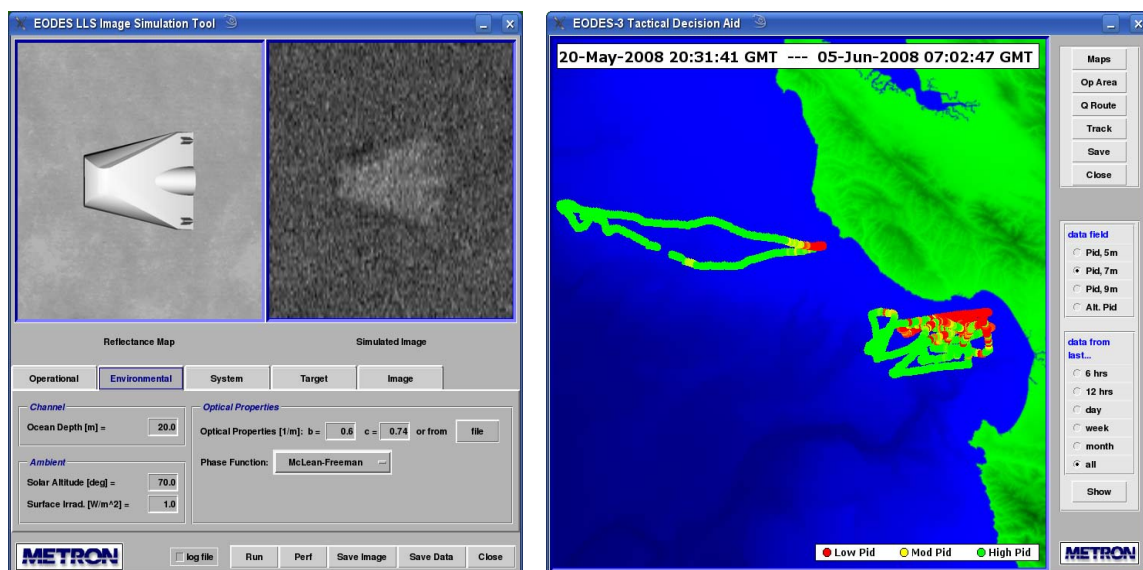
### **APPROACH**

Radiative transfer modeling is based on the small-angle approximation equation first published by Dolin [1]. The model assumes that light undergoes only a small deflection during each scattering event, and that the cumulative effect of many scattering events results in mostly small deviations from the original direction of propagation. This model has been shown to provide excellent approximations to light beam propagation in oceanic and atmospheric environments over several attenuation lengths. EODES implements an efficient solution strategy that includes time-dependence, range-dependent water properties, arbitrary scattering phase functions, and various source radiance distributions.

Report Documentation Page			Form Approved OMB No. 0704-0188		
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE <b>2009</b>		2. REPORT TYPE		3. DATES COVERED <b>00-00-2009 to 00-00-2009</b>	
4. TITLE AND SUBTITLE <b>A Comprehensive Model for Performance Prediction of Electro-Optical Systems</b>				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>Metron, Inc, 1818 Library St., Suite 600, Reston, VA, 20190</b>				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release; distribution unlimited</b>					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT <b>Same as Report (SAR)</b>	18. NUMBER OF PAGES <b>5</b>	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE <b>unclassified</b>			

Electro-optical scanning systems models are based on the Fourier optics approach provided by Bravo-Zhivotovskiy et al. [2], Wells [3], and Mertens & Replogle [4]. The EODES implementation provides for various types of laser sources (e.g., pencil beam, fan beam, broad beam), continuous wave or pulsed modes, monostatic and bistatic configurations, and active or passive operation. Simulation tools with graphical user interfaces (GUIs) for various types of systems are provided as part of the software package. An example GUI for a laser line scan system is shown on the left of Figure 1. The physical models for radiative transfer and system simulation are described in [10].

The EODES performance prediction model for underwater electro-optical imaging systems is patterned after the General Image Quality Equation [5], which relates an image quality metric to the ground sampled distance (GSD), relative edge response (RER), and the signal-to-noise ratio (SNR). EODES uses the radiative transfer and system scanning models described above to estimate the GSD, RER, and SNR. The mathematical relationship between these quantities and the image quality was developed specifically for underwater EOID systems [12,13]. The relationship between the image quality rating and the probability of identification is based on data collected at an 2001 ONR field test [6] and at various Navy exercises. An example display from a prototype tactical decision aid is shown on the right of Figure 1.



**Figure 1: EODES image simulation GUI (left) with user inputs to specify water properties, system specifications, and operational settings. Prototype tactical decision aid (right) that displays a color-coded probability of identification for a system in the operational area.**

## WORK COMPLETED

Metron developed the EODES suite of electro-optical image simulation and performance prediction tools under this contract to the Office of Naval Research. This effort encompassed the analytical formulation of the physical models, their numerical implementation, and their validation against Monte Carlo simulations, laboratory experiments, and field test data. A performance prediction capability was also developed based on the underlying physical models, and this is currently being certified as the first Navy standard model for electro-optics to be included in the Oceanographic and Atmospheric

Master Library (OAML). The performance prediction algorithms are being incorporated into a real-time tactical decision aid at the Naval Oceanographic Office (NAVOCEANO), which is described in [15].

A key objective of this program was to implement high-fidelity numerical models based on the physics of radiative transfer in ocean environments and a detailed specification of system optics and receiver characteristics. Another important goal of the program was to incorporate these detailed physical models within a performance prediction methodology to provide estimates of EOIDS system effectiveness for mine countermeasures (MCM) operations in areas where the optical properties are known. The performance prediction products are provided to MCM commanders and mission planners to inform asset allocation and to aid in the development of effective tactics. The simulation models have also been used to help design next-generation EOIDS systems and to evaluate the performance of existing and prospective systems.

The EODES model suite includes simulation tools for several commercially available sensor technologies, including the Laser Line Scan (LLS) sensor used on the AN/AQS-24 and the Streak Tube Imaging Lidar (STIL) sensor used on the AN/AQS-20. A simulation tool for a prospective pulsed LLS sensor has also been developed. These simulation tools have graphical user interfaces, are portable across several computer platforms, and provide for detailed specification of the system specifications, operational parameters, and environmental conditions. The performance prediction tool has been packaged for inclusion in the OAML and is expected to be certified as a Navy standard model in October 2009. The entire suite of models has been thoroughly validated and documented and includes a 150-page manual, user-friendly applications, and user's guides.

## **RESULTS**

This three-year project extended only a couple months into FY09. During that period the EODES software was packaged for submission to the OAML certification process. EODES is slated to be certified in October as the first Navy standard model for EOIDS systems to be included in the OAML. EODES performance prediction models are also being incorporated into tactical decision aids at NAVOCEANO and are also being used to provide EOIDS performance estimates based on satellite data for ocean optics at NRL-Stennis [16].

## **IMPACT/APPLICATIONS**

EODES performance prediction models are expected to provide a new capability to tactical decision aids for mine warfare. EODES is also being used to evaluate the performance of current electro-optical systems and to design future systems for mine countermeasures applications.

## **TRANSITIONS**

EODES performance prediction software is expected to transition to the Naval Oceanographic Office (NAVOCEANO). EODES models are also being used to evaluate performance of airborne LIDAR systems for the Naval Sea Systems Command (NAVSEA).

## RELATED PROJECTS

*N00014-09-C-0273, Electro-Optical System Simulation and Performance Prediction Extensions to EODES.* This is a continuation of the EODES development where various extensions to the models will be added, and EODES models will also be used to analyze next-generation electro-optical identification systems for mine countermeasures. This project also supports OAML certification of new models and their transition to NAVOCEANO or other agencies.

*N00014-08-M-0007, RIMPAC-08 Planning and Support and OAML Certification.* This project involved the demonstration of EODES performance prediction software for MCM mission planning at the RIMPAC-08 Navy Exercise. The demonstration involved teams from NAVOCEANO, CNMOC, NRL-Stennis, Rutgers University, and Wet Labs. A full tactical performance prediction capability was implemented, including autonomous environmental data collection with Slocum gliders outfitted with WetLab optical sensors, near real-time command, control, and data telemetry through the NAVOCEANO Glider Operations Center (GOC), EOID performance prediction with EODES software, and tactical decision aids.

## REFERENCES

- [1] L. S. Dolin, "Propagation of a narrow light beam in a random medium," *Izv. VUZ, Radiofizika*, vol. 7, no. 2, pp. 380-382, 1964. (in Russian)
- [2] D. M. Bravo-Zhivotovskiy, L. S. Dolin, A. G. Luchinin, and V. A. Savel'yev, "Some problems of the theory of visibility in turbid media," *Izv. Acad. Sci, USSR, Atmos. Oceanic Phys.*, vol. 5, no. 7, pp. 388-393, 1969.
- [3] W. H. Wells, "Medium and system transform functions," in *Optics of the Sea (Interface and In-water Transmission and Imaging)*, AGARD Lecture Series No. 61, ch. 4.3, Technical Editing and Reproduction Ltd., London, 1973.
- [4] L. E. Mertens and F. S. Replogle, Jr., "Use of point spread and beam spread functions for analysis of imaging systems in water," *J. Opt. Soc. Am*, vol. 67, no. 8, pp. 1105-1117, 1977.
- [5] J. C. Leachtenauer, W. Malila, J. Irvine, L. Colburn, and N. Salvaggio, "General Image-Quality Equation: GIQE," *Appl. Opt.*, vol. 36, no. 32, 8322-8328, 1997.
- [6] J. S. Taylor and M. C. Hulgán, "Electro-optical identification research program," *MTS/IEEE Oceans '02 Conf. Proc.*, vol. 2, pp. 994-1002, (Biloxi, MS) 29-31 Oct, 2002.

## PUBLICATIONS

- [7] F. R. Dalgleish, P. R. Bordner, and F. M. Caimi, "HBOI extended range optical imaging test facility," in *Ocean Optics XVIII Conf. Proc.*, (Montreal, Canada) 9-13 Oct., 2006.
- [8] F. Dalgleish, F. Caimi, Y. Wan, W. Britton, J. J. Shirron, T. E. Giddings, C. H. Mazel, J. M. Glynn, and J. P. Towle, "Experimental validation of a laser pulse time-history model," in *Ocean Optics XIX Conf. Proc.*, (Tuscany, Italy) 6-10 Oct., 2008.

- [9] F. R. Dagleish, F. M. Caimi, W. B. Britton, T. E. Giddings, J. J. Shirron, and C. H. Mazel, "Experimental validation of an underwater electro-optical image prediction tool," in preparation.
- [10] T. E. Giddings and J. J. Shirron, "Numerical simulation of the incoherent electro-optical imaging process in plane-stratified media," *J. Opt. Engrg.*, under review, 2009.
- [11] T. E. Giddings, J. J. Shirron, and A. Tirat-Gefen, "EODES-3: An electro-optic imaging and performance prediction model," *MTS/IEEE Oceans '05 Conf. Proc.*, vol. 2, pp. 1380-1387, (Washington, DC) 19-23 Sept., 2005.
- [12] T. E. Giddings and J. J. Shirron, "Performance prediction for electro-optical mine identification systems," *8<sup>th</sup> Intl. Symp. on Technology and the Mine Problem*, MINWARA, (Monterey, CA) 6-8 May, 2008.
- [13] T. E. Giddings and J. J. Shirron, "Performance prediction for underwater electro-optical mine identification systems," *Ocean Optics XIX Conf. Proc.*, (Tuscany, Italy) 6-10 Oct., 2008.
- [14] J. J. Shirron and T. E. Giddings, "A model for the simulation of a pulsed laser line scan system," *MTS/IEEE Oceans '06 Conf. Proc.*, (Boston, MA) 18-21 Sept., 2006.
- [15] K. L. Mahoney, K. Grembowicz, B. Bricker, S. Crossland, D. Bryant, M. Torres, and T. Giddings, "RIMPAC 08: Naval Oceanographic Office Glider Operations," *SPIE Defense, Security, and Sensing*, (Orlando, FL) 13-17 April, 2009.
- [16] S. Ladner, R. Arnone, B. Casey, A. Weidemann, D. Gray, I. Shulmann, K. Mahoney, T. Giddings, and J. Shirron, "Defining the uncertainty of electro-optical identification performance estimates using a 3-dimensional optical environment derived from satellite data," *SPIE Defense, Security, and Sensing*, (Orlando, FL) 13-17 April, 2009.